



DT03 Rec'd PCT/PTO 09 DEC 2002
1772

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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/857904	
	Filing Date	October 1, 2001	
	First Named Inventor	Kiyoshi Sawada	
	Group Art Unit	1772	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	1	Attorney Docket Number	19036/37471

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below)

PTO SB-08 and references cited thereon

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	MARSHALL, GERSTEIN & BORUN Richard H. Anderson - 26,526
Signature	<i>Richard H. Anderson</i>
Date	December 5, 2002

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Dated: December 5, 2002

Signature: *Richard H. Anderson* (Richard H. Anderson)

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Dated: December 5, 2002

Signature:

Richard H. Anderson
(Richard H. Anderson)

Docket No.: 19036/37471
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Kiyoshi Sawada, et al.

Application No.: 09/857904

Filed: October 1, 2001

For: FOOD CONTAINER LID MEMBER

Group Art Unit: 1772

Examiner: Not Yet Assigned

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
Washington, DC 20231

Dear Sir:

In accordance with 37 CFR 1.97, Applicant(s) hereby make of record the following additional documents. A PTO Form SB/08 and a full copy of each of these documents accompany this statement.

Applicant(s) have become aware of the following documents, cited in an International Search Report(s) issued April 16, 2002 and October 24, 2002, during the prosecution of international application no. EP 99 95 9709, which corresponds to the above referenced application, and in accordance with 37 CFR 1.97(c) and (e)(1) or (b)(3), hereby submit(s) these documents for the Examiner's consideration. These documents are cited on the enclosed PTO Form SB/08, and a copy of the International Search Report and each document cited thereon are enclosed as well.

This statement is not to be interpreted as a representation that the cited documents are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any document herein be construed *per se* as a representation that such document is prior art. Moreover, Applicant(s) understand(s) the Examiner will make an independent evaluation of the cited documents.

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The undersigned hereby certifies that each item contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

This Statement is being filed, to the best of the undersigned's knowledge, before the mailing date of a first Office Action on the merits. In accordance with 37 CFR §1.97(b), no certification or fee is required.

The Commissioner is hereby authorized to charge any fee deficiency required by this paper, or credit any overpayment, to Deposit Account No. 13-2855, under Order No. 19036/37471. A duplicate copy of this paper is enclosed.

Dated: December 5, 2002

Respectfully submitted,

By Richard H. Anderson

Richard H. Anderson

Registration No.: 26,526

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

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Filing Date	October 1, 2001
First Named Inventor	Kiyoshi Sawada
Art Unit	1772
Examiner Name	Not Yet Assigned
Attorney Docket Number	19036/37471

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1700**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A3	4,013,188	03/22/1977	George C. Ray	
	A4	4,636,273	01/13/1987	Daniel J. Wolfersperger	
	A5	4,756,917	07/12/1988	Mamoru Kamada, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation Document	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	B8	JP 11-208722	08/03/1999		Abstract	✓
	B9	JP 8 -337274	12/24/1996		Abstract	✓

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C6	Supplementary Partial European Search Report of Application No. EP 99 95 9709 dated 16 April 2002 (Searched in Munich)	
	C7	Supplementary European Search Report of Application No. EP 99 95 9709 dated 24 October 2002 (Searched in Munich)	

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